Additions <u>underlined</u>. Deletions [bracketed].

## NYSE AMEX OPTIONS FEE SCHEDULE\*

\*NYSE Amex Options is the options trading facility of NYSE MKT LLC

Last Updated: [January 8] February 3, 2014

\* \* \* \* \*

## NYSE AMEX OPTIONS: TRADE-RELATED REBATES OR SUBSIDIES FOR STANDARD OPTIONS

Market Access and Connectivity ("MAC") Subsidy – To qualify for the MAC Subsidy, an ATP Holder must must notify the Exchange no later than the last business day of the month in which the ATP Holder would like to be eligible for the MAC Subsidy. Notification must be made by emailing the Exchange at optionsbilling@nyx.com and the email must include the name of the ATP Holder seeking the MAC Subsidy as well as a list of unique connections utilized by the ATP Holder to provide access and connectivity to the Exchange for either the ATP Holder or other ATP Holders that are using the ATP Holder's systems to access the Exchange.

Non-NYSE Amex Options Market	Per Contract MAC Subsidy –
Maker, Firm Proprietary, Professional	Retroactive To All Qualifying Contract
Customer and Broker Dealer Electronic	Volumes Upon Achieving A Higher
Contract ADV Tiers (excludes mini	ADV Tier (excludes mini options and
options and volumes associated with	volume associated with QCC trades)
QCC trades)	
At least .45% of Total Industry	\$0.04
Customer equity and ETF option ADV	
At least .85% of Total Industry	\$0.06
Customer equity and ETF option ADV	
At least 1.25% of Total Industry	<u>\$0.08</u>
Customer equity and ETF ADV	

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